

Annual Report for North Hempstead Solid Waste Management Authority
Fiscal Year Ending:12/31/2011

Run Date: 06/25/2012
Status: CERTIFIED

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.northhempsteadny.gov/content/7352/7123/7215/4433/8658/default.aspx
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.northhempsteadny.gov/content/7352/7123/7215/4433/8658/default.aspx
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.northhempsteadny.gov/content/7352/7123/7215/4433/8658/default.aspx
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.northhempsteadny.gov/content/7352/7123/7215/4433/8658/default.aspx
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.northhempsteadny.gov/content/7352/7123/7215/4433/8658/default.aspx

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.northhempsteadny.gov/content/7352/7123/7215/4433/8658/default.aspx
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.northhempsteadny.gov/content/7350/7121/4716/10361/default.aspx
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.northhempsteadny.gov/content/7350/7121/4716/10361/default.aspx
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.northhempsteadny.gov/content/7352/7123/7215/4433/8658/default.aspx
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10.Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11.Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12.Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13.Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14.Was a performance evaluation of the board completed?	Yes	N/A
15.Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16.Has the board adopted a conditional/additional compensation policy governing all employees?	No	

<u>Board of Directors Listing</u>			
Name	Pollack, Fred L	Name	Russell, Viviana
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2008	Term Start Date	01/01/2010
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Councilman	Title	Councilwoman
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

<u>Board of Directors Listing</u>			
Name	Kaiman, Jon	Name	Dwyer, Thomas K
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	Other	If yes, Chair Designated by.	
Term Start Date	01/01/2010	Term Start Date	01/01/2008
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Chairman	Title	Councilman
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Ex-Officio	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

<u>Board of Directors Listing</u>			
Name	Poons, Maria C	Name	Ferrara, Angelo P
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2008	Term Start Date	01/01/2010
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Councilwoman	Title	Councilman
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

<u>Board of Directors Listing</u>	
Name	Seeman, Lee R
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/2010
Term Expiration Date	Ex-Officio
Title	Councilwoman
Has the Board member appointed a designee?	No
Designee Name	
Ex-officio	Yes
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Annual Report for North Hempstead Solid Waste Management Authority
Fiscal Year Ending:12/31/2011

Run Date: 06/25/2012

Status: CERTIFIED

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Bharath, Christiane	Attendant	Administrative and Clerical	Admin			PT	Yes	906.00	906	0	0	0	0	906	No	
Caputo, Luciano	Senior Maintainer	Operational	Leachate	CSEA	Local 1000 AFSCME	FT	No	52,780.00	53,701.6	14,818.54	0	35	0	68,555.14	No	
Carpenter, Paul	Facility Supervisor	Operational	Leachate	CSEA	Local 1000 AFSCME	FT	No	84,223.00	84,347.18	10,828.5	0	0	725	95,900.68	No	
Crawford, David	Principal Motor Equipment Operator - PT	Operational	Leachate			PT	Yes	1,067.04	1,067.04	0	0	0	0	1,067.04	No	
Devlin, Kenneth	Facility Attendant	Operational	Scale	CSEA	Local 1000 AFSCME	FT	No	65,366.00	65,388.95	4,953.89	0	0	35	70,377.84	No	
Fischetti, Michael	Facility Supervisor	Operational	Leachate	CSEA	Local 1000 AFSCME	FT	No	71,657.00	71,802.29	13,990.99	0	0	35	85,828.28	No	
Grushack, Sydnee	Laborer 1	Administrative and Clerical	Admin			PT	Yes	2,050.00	2,050	0	0	0	0	2,050	No	
Karavasilis, Billy	Laborer 1	Administrative and Clerical	Admin			PT	Yes	3,154.00	3,154	0	0	0	0	3,154	No	
Kenney, Joanne	Secretary To the Executive Director	Administrative and Clerical	ADMIN	CSEA	Local AFSCME	FT	No	41,878.00	41,886.8	1,923.83	0	0	0	43,810.63	No	
Lauri, Anthony	Laborer II	Operational	Scale	CSEA	Local 1000 AFSCME	FT	No	60,308.00	60,340.38	4,034.04	0	0	35	64,409.42	No	
Metz, Constance	Clerk	Administrative and Clerical	Administration			PT	Yes	1,242.00	1,242	0	0	0	0	1,242	No	

Annual Report for North Hempstead Solid Waste Management Authority
Fiscal Year Ending:12/31/2011

Run Date: 06/25/2012

Status: CERTIFIED

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Nielsen, Peter	Principal Motor Equipment Operator	Operational	Maintenance Plants & Grounds	CSEA	Local AFSCME	FT	No	72,197.00	72,276.2	6,104.23	0	0	35	78,415.43	No	
Nolan, Edward	Facility Operator	Operational	Scale	CSEA	Local 1000 AFSCME	FT	No	71,961.00	72,294.05	10,150.51	0	0	35	82,479.56	No	
Peters, John	Deputy Executive Director	Executive	Admin			FT	Yes	85,000.00	85,000	0	0	0	0	85,000	No	
Rama, Douglas	Motor Equipment Operator	Operational	Maintenance Plant & Grounds	CSEA	Local AFSCME	FT	No	59,258.00	60,429.36	15,985.9	0	0	35	76,450.26	No	
Saccoccia, Patrick	Senior Maintainer	Operational	Admin	CSEA	Local AFSCME	FT	No	61,111.00	61,275.4	22,347.18	0	0	0	83,622.58	No	
Sikiric, Igor	Executive Director	Executive	Admin			FT	Yes	100,000.00	100,000	0	0	0	690	100,690	No	
Teta, Carol	Secretary	Administrative and Clerical	Admin			FT	Yes	50,000.00	49,999.82	0	0	0	0	49,999.82	No	
Williams, Thomas E	Motor Equipment Operator	Operational	Maintenance Plants & Grounds	CSEA	Local AFSCME	FT	No	59,650.00	59,802.66	9,587.58	0	0	35	69,425.24	No	

Annual Report for North Hempstead Solid Waste Management Authority
Fiscal Year Ending:12/31/2011

Run Date: 06/25/2012
Status: CERTIFIED

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member- ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transpo- rtation	Housing Allow- ance	Spousal / Dependent Life Insurance	Tuition Assist- ance	Multi- Year Employ- ment	None of These Benefits	Other
Kaiman, Jon	Board of Directors												X	
Dwyer, Thomas K	Board of Directors												X	
Ferrara, Angelo P	Board of Directors												X	
Poons, Maria C	Board of Directors												X	
Seeman, Lee R	Board of Directors												X	
Pollack, Fred L	Board of Directors												X	
Russell, Viviana	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member- ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transpo- rtation	Housing Allow- ance	Spousal / Dependent Life Insurance	Tuition Assist- ance	Multi- Year Employ- ment	None of These Benefits	Other
------	-------	----------------------	-----------------------------------	--------------------------	--	-------------------	------	---------------------	---------------------------	---	----------------------------	-----------------------------------	---------------------------------	-------

No Data has been entered by the Authority for this section in PARIS

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
-----------------------------------	--------	-------------------

Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
-----------------------------------	--------------------	----------------

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
-----------------------------------	------------------	--------------------	----------------------

No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Assets

Current Assets

Cash and cash equivalents	\$76,499.92
Investments	\$0
Receivables, net	\$1,980,529.69
Other assets	\$72,862.01
Total Current Assets	\$2,129,891.62

Noncurrent Assets

Restricted cash and investments	\$2,277,994.79
Long-term receivables, net	\$0
Other assets	\$0

Capital Assets

Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0

Total Noncurrent Assets	\$2,277,994.79
--------------------------------	-----------------------

Total Assets	\$4,407,886.41
---------------------	-----------------------

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$1,361,228.03
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$3,040,150.23
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$4,401,378.26

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities	\$4,401,378.26
--------------------------	-----------------------

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$2,350,856.8
Unrestricted	(\$2,344,348.65)
Total Net Assets	\$6,508.15

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$16,450,415.6
Rental & financing income	\$25,300
Other operating revenues	\$54,823.82
Total Operating Revenue	\$16,530,539.42

Operating Expenses

Salaries and wages	\$1,162,810.65
Other employee benefits	\$649,968.33
Professional services contracts	\$13,875,978.44
Supplies and materials	\$108,396.36
Depreciation & amortization	\$0
Other operating expenses	\$832,168.41
Total Operating Expenses	\$16,629,322.19

Operating Income (Loss) **(\$98,782.77)**

Nonoperating Revenues

Investment earnings	\$1,022.45
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$2,920,618.72
Total Nonoperating Revenue	\$2,921,641.17

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$2,516,968.75
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$6,290.38
Total Nonoperating Expenses	\$2,523,259.13
Income (Loss) Before Contributions	\$299,599.27
Capital Contributions	\$0
Change in net assets	\$299,599.27
Net assets (deficit) beginning of year	(\$293,091.12)
Other net assets changes	\$0
Net assets (deficit) at end of year	\$6,508.15

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	11,035,000.00	0.00	2,155,000.00	8,880,000.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	No	
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	No	
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	No	

No Data has been entered by the Authority for this section in PARIS

Annual Report for North Hempstead Solid Waste Management Authority
Fiscal Year Ending:12/31/2011

Run Date: 06/25/2012
Status: CERTIFIED

Additional Comments: